

Shift Radix Systems - some new characterization results and topological properties

Mario Weitzer

Doctoral Program
Discrete Mathematics



TU & KFU Graz · MU Leoben
AUSTRIA

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$$\mathbf{x} = (x_1, \dots, x_d) \rightarrow (x_2, \dots, x_d, -\lfloor \mathbf{r}\mathbf{x} \rfloor)$$

is called the d - dimensional **SRS** associated with \mathbf{r} (AKIYAMA et al. 2005)

where $\mathbf{r}\mathbf{x} = \sum_{i=1}^d r_i x_i$ denotes the scalar product of \mathbf{r} and \mathbf{x}
and $\lfloor y \rfloor$ the largest integer less than or equal to some real y . (floor)

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$$\mathcal{D}_d := \{\mathbf{r} \in \mathbb{R}^d \mid \text{each orbit of } \tau_{\mathbf{r}} \text{ is ultimately periodic}\}$$

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$$\mathcal{D}_d^{(0)} \subseteq \mathcal{D}_d$$

Example:

$$d = 2$$

$$\mathbf{r} = \left(\frac{9}{10}, \frac{13}{10}\right) \in \mathbb{R}^2$$

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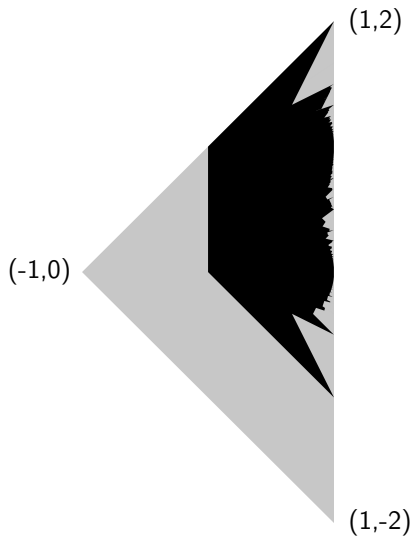
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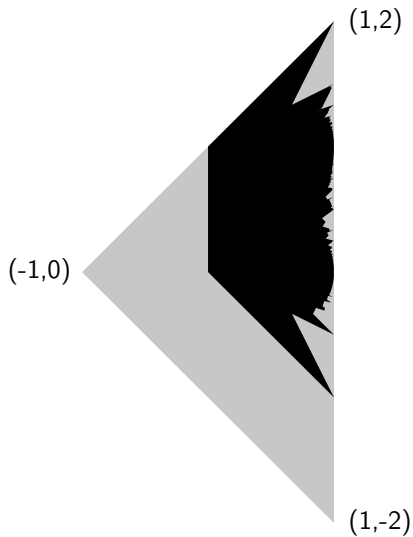
Orbit of $(0, 3)$ ultimately periodic!

$\mathbf{r} \in \mathcal{D}_2$?



Interested in $\mathcal{D}_d^{(0)}$

Figure: $\mathcal{D}_2^{(0)}$ in \mathcal{D}_2



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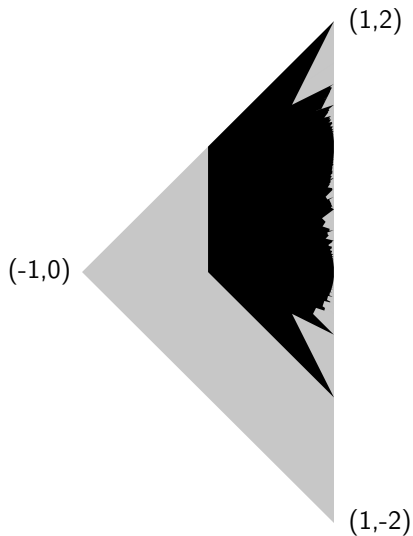


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Relation between SRS and
 β -Expansions

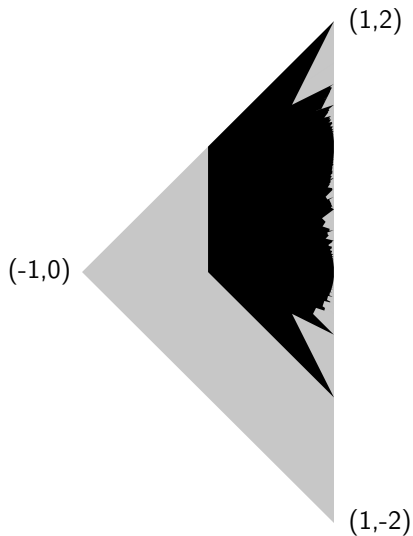


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Relation between SRS and
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Motivation - Relation to β -Expansions

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Then $\mathcal{A} := \{0, 1, \dots, \lfloor \beta \rfloor\}$ is called the **set of digits**,
as every $\gamma \in [0, \infty)$ can be represented uniquely in the form

$$\gamma = a_m \beta^m + a_{m-1} \beta^{m-1} + \dots$$

(**greedy expansion** of γ with respect to β)

with $m \in \mathbb{Z}$ and $a_i \in \mathcal{A}$, such that

$$0 \leq \gamma - \sum_{i=k}^m a_i \beta^i < \beta^k$$

holds for all $k \leq m$.

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In that case β is an algebraic integer (furthermore a Pisot number) and therefore has a minimal polynomial

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Then β has **property (F)** $\iff (r_0, \dots, r_{d-2}) \in \mathcal{D}_{d-1}^{(0)}$ (AKIYAMA et al. 2005)

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Then P is a CNS polynomial $\iff (\frac{1}{p_0}, \frac{p_{d-1}}{p_0}, \dots, \frac{p_2}{p_0}, \frac{p_1}{p_0}) \in \mathcal{D}_d^{(0)}$
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For $\mathbf{r} = (r_1, \dots, r_d) \in \mathbb{R}^d$ let

$$R_{\mathbf{r}} := \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ \vdots & & \ddots & \ddots & 0 \\ 0 & \cdots & \cdots & 0 & 1 \\ -r_1 & -r_2 & \cdots & \cdots & -r_d \end{pmatrix}$$

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- $\partial\mathcal{D}_d = \{\mathbf{r} \in \mathbb{R}^d \mid \rho(R_{\mathbf{r}}) = 1\}$

Characterization of $\mathcal{D}_d^{(0)}$ – Two important concepts

Cutout polyhedra

For a tuple π of vectors in \mathbb{Z}^d let $\mathcal{P}(\pi)$ denote the set of all $\mathbf{r} \in \mathbb{R}^d$ for which π is a period of $\tau_{\mathbf{r}}$.

$$\pi = (\mathbf{x}_1, \dots, \mathbf{x}_n), \tau_{\mathbf{r}}(\mathbf{x}_1) = \mathbf{x}_2, \dots, \tau_{\mathbf{r}}(\mathbf{x}_n) = \mathbf{x}_1$$

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$$\mathcal{D}_d^{(0)} = \mathcal{D}_d \setminus \bigcup_{\pi \neq 0} \mathcal{P}(\pi) \quad (\text{BRUNOTTE 2001})$$

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A set $V \subseteq \mathbb{Z}^d$ is called a **set of witnesses** for $\mathbf{r} \in \mathbb{R}^d$ iff it is stable under $\tau_{\mathbf{r}}$ and $\tau_{\mathbf{r}}^* := -\tau_{\mathbf{r}} \circ (-\text{id}_{\mathbb{Z}^d})$ and contains a generating set of the group $(\mathbb{Z}^d, +)$ which is closed under taking inverses.

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Every such set of witnesses has the decisive property:

$$\mathbf{r} \in \mathcal{D}_d^{(0)} \Leftrightarrow \forall \mathbf{a} \in V : \exists n \in \mathbb{N} : \tau_{\mathbf{r}}^n(\mathbf{a}) = \mathbf{0}$$

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$$\mathbf{r} \in \mathcal{D}_d^{(0)} \Leftrightarrow \forall \mathbf{a} \in V : \exists n \in \mathbb{N} : \tau_{\mathbf{r}}^n(\mathbf{a}) = \mathbf{0}$$

Find a **finite** set of witnesses iteratively for $\mathbf{r} \in \text{int}(\mathcal{D}_d)$:

$$\begin{aligned} V_0 &:= \{(\pm 1, 0, \dots, 0), \dots, (0, \dots, 0, \pm 1)\} \\ \forall n \in \mathbb{N} : V_n &:= V_{n-1} \cup \tau_{\mathbf{r}}(V_{n-1}) \cup \tau_{\mathbf{r}}^*(V_{n-1}) \\ V_{\mathbf{r}} &:= \bigcup_{n \in \mathbb{N}_0} V_n \end{aligned}$$

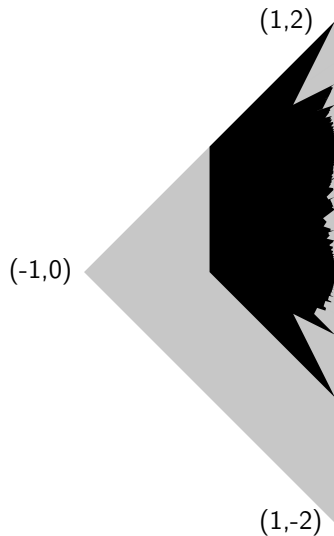
(BRUNOTTE 2001)

Characterization of $\mathcal{D}_d^{(0)}$ – Previous results

- $\mathcal{D}_1 = [-1, 1]$, $\mathcal{D}_1^{(0)} = [0, 1)$

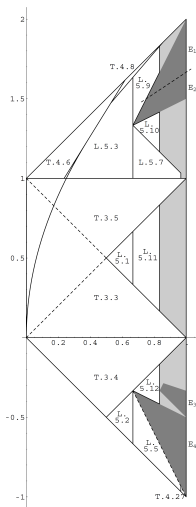
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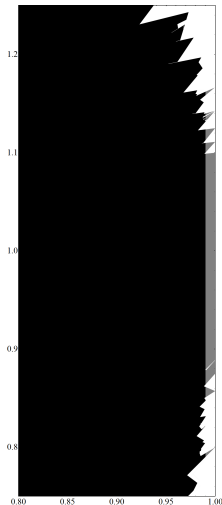
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Applied by SURER 2008 to characterize

$\mathcal{D}_2^{(0)} \cap \{(x, y) \in \mathbb{R}^2 \mid x \leq L\}$ where

$$L = \frac{99}{100}$$



Characterization of $\mathcal{D}_d^{(0)}$ – New results

Theorem (M.W.):

- $\mathcal{D}_2^{(0)}$ has at least 22 connected components
- The largest connected component of $\mathcal{D}_2^{(0)}$ has at least 3 holes

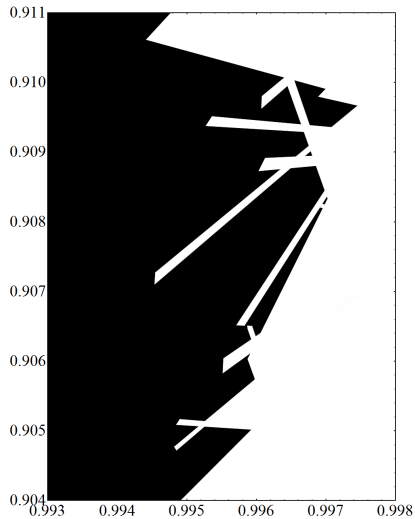
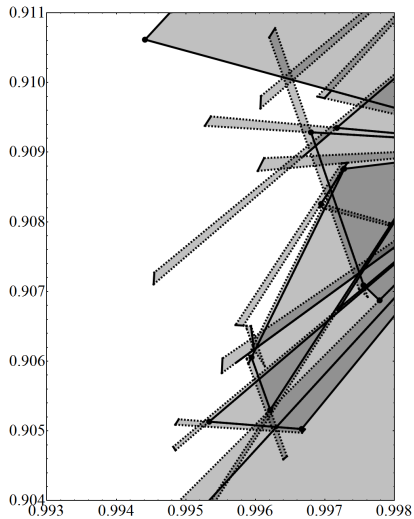
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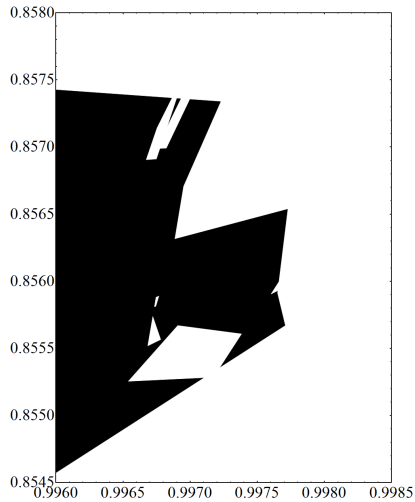
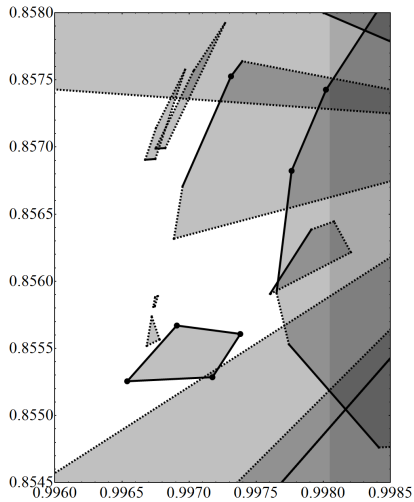
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Result achieved by a new algorithm which has been used to characterize $\mathcal{D}_2^{(0)} \cap \{(x, y) \in \mathbb{R}^2 \mid x \leq L\}$ where $L = \frac{511}{512}$.

Characterization of $\mathcal{D}_d^{(0)}$ – New results



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Handle classes in a sophisticated order to minimize computation time!

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Advantages of the second algorithm:

- Much faster than Brunotte's algorithm
- Very compact output (minimal list of cutout polyhedra)

Thank you for your attention!

Motivation - Relation to Canonical Number Systems

Let

$$P(X) = X^d + p_{d-1}X^{d-1} + \dots + p_1X + p_0 \in \mathbb{Z}[X]$$

$$\mathcal{R} := \mathbb{Z}[X]/P(X)\mathbb{Z}[X]$$

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(P, \mathcal{N}) is called a **CNS**, P a **CNS polynomial** and \mathcal{N} the **set of digits** if every non-zero element $A(x) \in \mathcal{R}$ can be represented uniquely in the form

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Then P is a **CNS polynomial** $\iff \left(\frac{1}{p_0}, \frac{p_{d-1}}{p_0}, \dots, \frac{p_2}{p_0}, \frac{p_1}{p_0}\right) \in \mathcal{D}_d^{(0)}$