

# (Gaussian) Shift Radix Systems - some new characterization results and topological properties

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$$\mathbf{x} = (x_1, \dots, x_d) \rightarrow (x_2, \dots, x_d, -\lfloor \mathbf{r}\mathbf{x} \rfloor)$$

is called the  $d$  - dimensional **SRS** associated with  $\mathbf{r}$  (AKIYAMA et al. 2005)

where  $\mathbf{r}\mathbf{x} = \sum_{i=1}^d r_i x_i$  denotes the scalar product of  $\mathbf{r}$  and  $\mathbf{x}$   
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# Relation between SRS and GSRS

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In particular for  $d = 2 \leftrightarrow d = 1$ :

Identify  $\mathbb{C} \leftrightarrow \mathbb{R}^2$  and  $\mathbb{Z}[i] \leftrightarrow \mathbb{Z}^2$

$$\tau_{(r,s)} : \mathbb{Z}^2 \mapsto \mathbb{Z}^2$$

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Orbit of  $(0, 3)$  ultimately periodic!

$\mathbf{r} \in \mathcal{D}_2$ ?

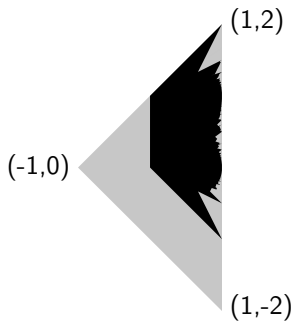


Figure:  $\mathcal{D}_2^{(0)}$  in  $\mathcal{D}_2$

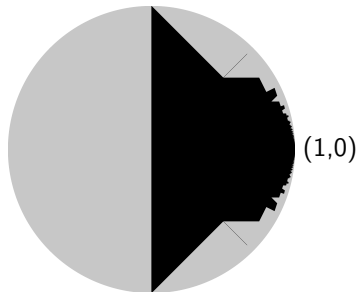


Figure:  $\mathcal{G}_1^{(0)}$  in  $\mathcal{G}_1$

Interested in  $\mathcal{D}_d^{(0)}$  and  $\mathcal{G}_d^{(0)}$

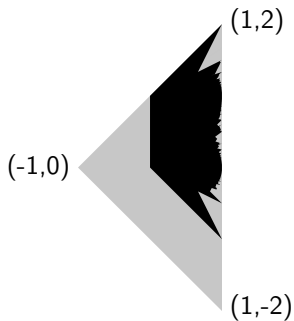


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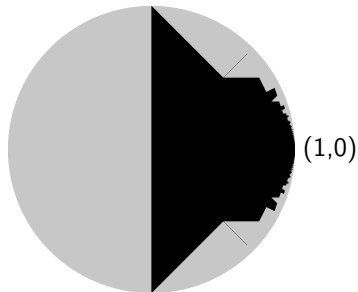


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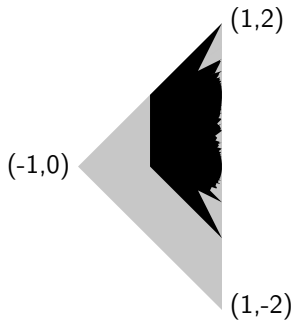


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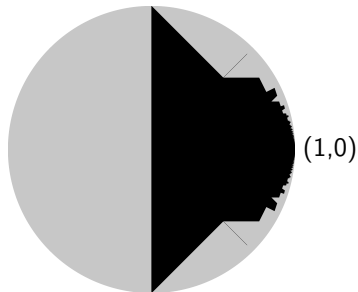


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Relation between SRS,  $\beta$ -Expansions, and Canonical Number Systems

# Motivation - Relation to $\beta$ -Expansions

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Then  $\mathcal{A} := \{0, 1, \dots, \lfloor \beta \rfloor\}$  is called the **set of digits**,  
as every  $\gamma \in [0, \infty)$  can be represented uniquely in the form

$$\gamma = a_m \beta^m + a_{m-1} \beta^{m-1} + \dots$$

(**greedy expansion** of  $\gamma$  with respect to  $\beta$ )

with  $m \in \mathbb{Z}$  and  $a_i \in \mathcal{A}$ , such that

$$0 \leq \gamma - \sum_{i=k}^m a_i \beta^i < \beta^k$$

holds for all  $k \leq m$ .



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Example:

$$\begin{aligned}\beta &= \varphi = \frac{1+\sqrt{5}}{2} = 1.6180339887 \dots (\Rightarrow \mathcal{A} = \{0, 1\}) \\ \gamma &= \frac{5}{\varphi} - \frac{11}{\varphi^3} = 0.49342219125 \dots\end{aligned}$$

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$$\beta = \varphi = \frac{1+\sqrt{5}}{2} = 1.6180339887 \dots (\Rightarrow \mathcal{A} = \{0, 1\})$$

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Then  $\beta$  has **property (F)**  $\iff (r_0, \dots, r_{d-2}) \in \mathcal{D}_{d-1}^{(0)}$  (AKIYAMA et al. 2005)

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Then  $P$  is a CNS polynomial  $\iff \left(\frac{1}{p_0}, \frac{p_{d-1}}{p_0}, \dots, \frac{p_2}{p_0}, \frac{p_1}{p_0}\right) \in \mathcal{D}_d^{(0)}$   
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For  $\mathbf{r} = (r_1, \dots, r_d) \in \mathbb{R}^d$  let

$$R_{\mathbf{r}} := \begin{pmatrix} 0 & 1 & 0 & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ \vdots & & \ddots & \ddots & 0 \\ 0 & \cdots & \cdots & 0 & 1 \\ -r_1 & -r_2 & \cdots & \cdots & -r_d \end{pmatrix}$$

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# Basic properties of (G)SRS

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Equivalent statements are true for  $\mathcal{G}_d$ .



# Characterization of $\mathcal{D}_d^{(0)}$ and $\mathcal{G}_d^{(0)}$ – Two important concepts

## Cutout polyhedra

For a tuple  $\pi$  of vectors in  $\mathbb{Z}^d$  let  $\mathcal{P}(\pi)$  denote the set of all  $\mathbf{r} \in \mathbb{R}^d$  for which  $\pi$  is a period of  $\tau_{\mathbf{r}}$ .

$$\pi = (\mathbf{x}_1, \dots, \mathbf{x}_n), \tau_{\mathbf{r}}(\mathbf{x}_1) = \mathbf{x}_2, \dots, \tau_{\mathbf{r}}(\mathbf{x}_n) = \mathbf{x}_1$$

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# Characterization of $\mathcal{D}_d^{(0)}$ and $\mathcal{G}_d^{(0)}$ – Two important concepts

## Sets of witnesses

A set  $V \subseteq \mathbb{Z}^d$  is called a **set of witnesses** for  $\mathbf{r} \in \mathbb{R}^d$  iff it is stable under  $\tau_{\mathbf{r}}$  and  $\tau_{\mathbf{r}}^* := -\tau_{\mathbf{r}} \circ (-\text{id}_{\mathbb{Z}^d})$  and contains a generating set of the group  $(\mathbb{Z}^d, +)$  which is closed under taking inverses.

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Every such set of witnesses has the decisive property:

$$\mathbf{r} \in \mathcal{D}_d^{(0)} \Leftrightarrow \forall \mathbf{a} \in V : \exists n \in \mathbb{N} : \tau_{\mathbf{r}}^n(\mathbf{a}) = \mathbf{0}$$

# Characterization of $\mathcal{D}_d^{(0)}$ and $\mathcal{G}_d^{(0)}$ – Two important concepts

## Sets of witnesses

A set  $V \subseteq \mathbb{Z}^d$  is called a **set of witnesses** for  $\mathbf{r} \in \mathbb{R}^d$  iff it is stable under  $\tau_{\mathbf{r}}$  and  $\tau_{\mathbf{r}}^* := -\tau_{\mathbf{r}} \circ (-\text{id}_{\mathbb{Z}^d})$  and contains a generating set of the group  $(\mathbb{Z}^d, +)$  which is closed under taking inverses.

Every such set of witnesses has the decisive property:

$$\mathbf{r} \in \mathcal{D}_d^{(0)} \Leftrightarrow \forall \mathbf{a} \in V : \exists n \in \mathbb{N} : \tau_{\mathbf{r}}^n(\mathbf{a}) = \mathbf{0}$$

Find a **finite** set of witnesses iteratively for  $\mathbf{r} \in \text{int}(\mathcal{D}_d)$ :

$$\begin{aligned} V_0 &:= \{(\pm 1, 0, \dots, 0), \dots, (0, \dots, 0, \pm 1)\} \\ \forall n \in \mathbb{N} : V_n &:= V_{n-1} \cup \tau_{\mathbf{r}}(V_{n-1}) \cup \tau_{\mathbf{r}}^*(V_{n-1}) \\ V_{\mathbf{r}} &:= \bigcup_{n \in \mathbb{N}_0} V_n \end{aligned}$$

(BRUNOTTE 2001)

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Equivalent statements are **true for  $\mathcal{G}_d$  and  $\mathcal{G}_d^{(0)}$** .



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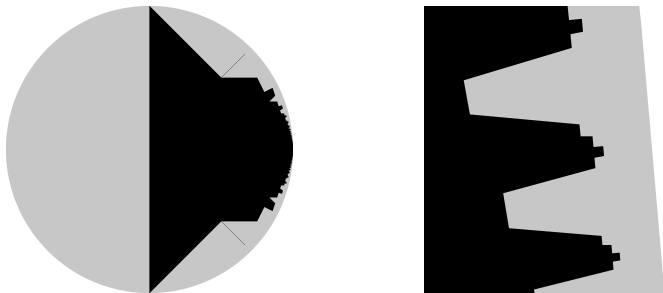
Example:  $V$  for  $r = \frac{9}{10} + i\frac{6}{17}$



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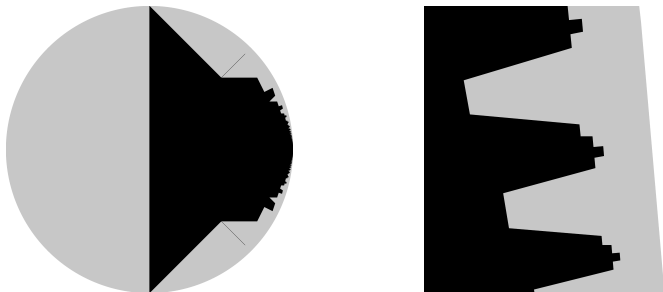
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# Characterization of $\mathcal{G}_1^{(0)}$ (Pethő's Loudspeaker)



$\mathcal{G}_1^{(0)}$  is contained in the closed right half of the closed unit disk, and is symmetric with respect to the real axis (BRUNOTTE et al. 2011).

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Conjecture by M.W.:

$\mathcal{G}_1^{(0)} = \mathcal{G}_C$  where  $\mathcal{G}_C$  is a (neither open nor closed) polygon given by ten infinite sequences of points in  $\mathbb{C}$  (and their complex conjugates).

# Characterization of $\mathcal{G}_1^{(0)}$ (Pethő's Loudspeaker)

Ten sequences:

$$z_1(n) = 1 + \frac{-2+in}{n^2-2}$$

$$z_6(n) = 1 + \frac{-1+i(n+1)}{n^2+n+1}$$

$$z_2(n) = 1 + \frac{-1+i(n-1)}{n^2-n-1}$$

$$z_7(n) = 1 + \frac{-1+i(n+1)}{n^2+n+2}$$

$$z_3(n) = 1 + \frac{-1+i(n-1)}{n^2-n}$$

$$z_8(n) = 1 + \frac{-1+in}{n^2+2}$$

$$z_4(n) = 1 + \frac{-1+in}{n^2}$$

$$z_9(n) = 1 + \frac{-1+in}{n^2+3}$$

$$z_5(n) = 1 + \frac{-1+in}{n^2+1}$$

$$z_{10}(n) = 1 + \frac{-2+i(n+1)}{n^2+n+6}$$

# Characterization of $\mathcal{G}_1^{(0)}$ (Pethő's Loudspeaker)

Already proven:  $\mathcal{G}_1^{(0)} \subseteq \mathcal{G}_c$

Result achieved by identification of 20 families of cutout polygons

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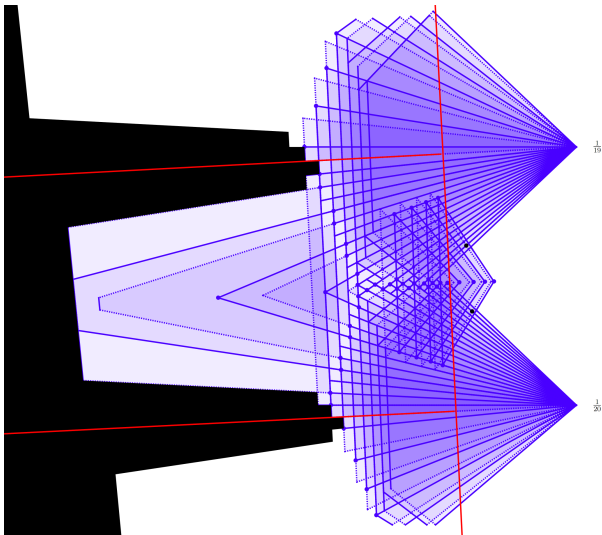


Figure: 20 families of cutout polygons

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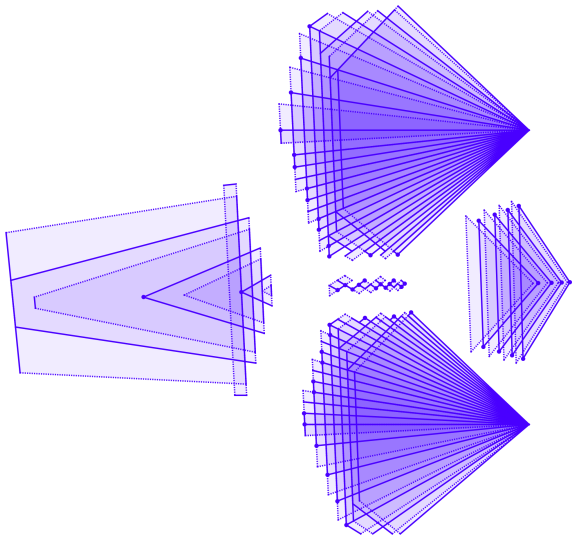


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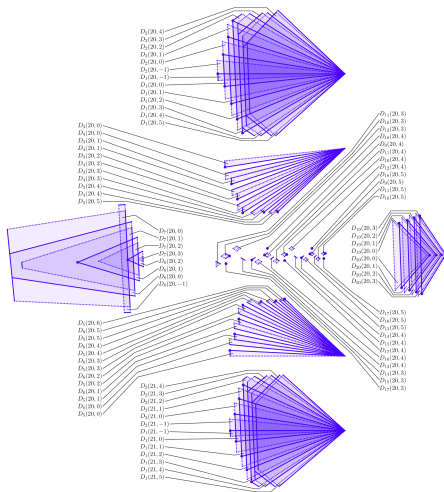


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# Characterization of $\mathcal{G}_1^{(0)}$ (Pethő's Loudspeaker)

Other inclusion: Settled inside  $\{\mathbf{r} \in \mathbb{C} \mid |\mathbf{r}| \leq \frac{1023}{1024}\}$  by a new algorithm

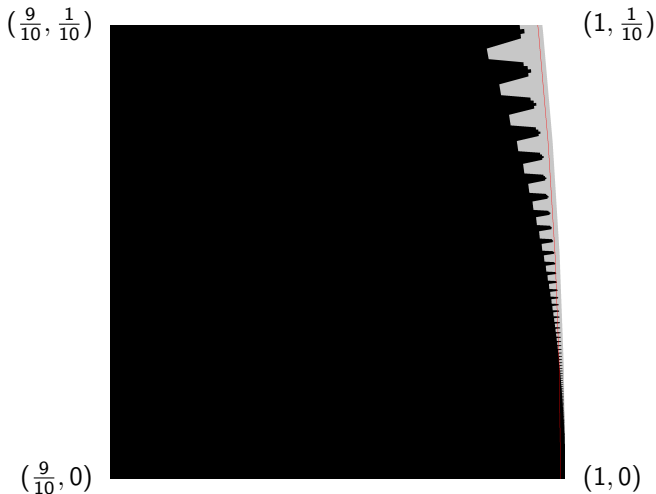


Figure: Region settled algorithmically

# Characterization of $\mathcal{G}_1^{(0)}$ (Pethő's Loudspeaker)

Hope for full characterization of  $\mathcal{G}_1^{(0)}$   
by thorough investigation of orbits of  $\gamma_r$ !

# Characterization of $\mathcal{D}_d^{(0)}$ – Previous results

- $\mathcal{D}_1 = [-1, 1]$ ,  $\mathcal{D}_1^{(0)} = [0, 1)$

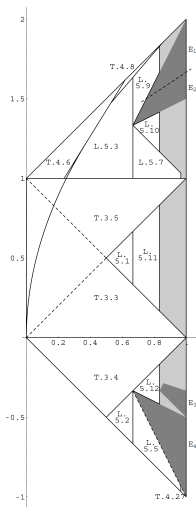
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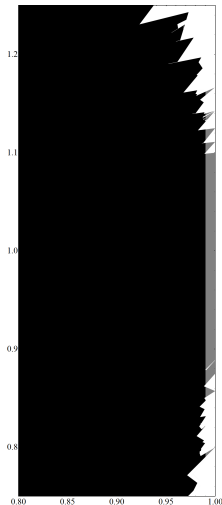
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Applied by SURER 2008 to characterize  $\mathcal{D}_2^{(0)} \cap \{(x, y) \in \mathbb{R}^2 \mid x \leq L\}$  where  $L = \frac{99}{100}$





# Characterization of $\mathcal{D}_d^{(0)}$ – New results

Theorem (M.W.):

- $\mathcal{D}_2^{(0)}$  has at least 22 connected components
- The largest connected component of  $\mathcal{D}_2^{(0)}$  has at least 3 holes

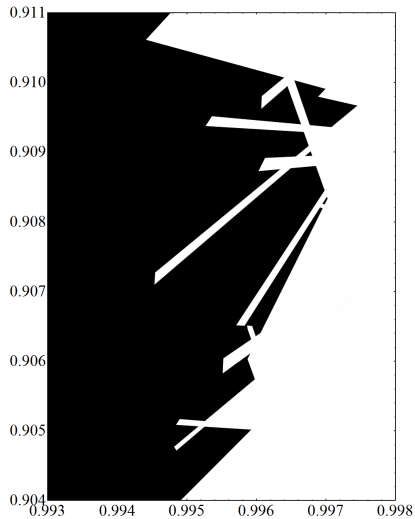
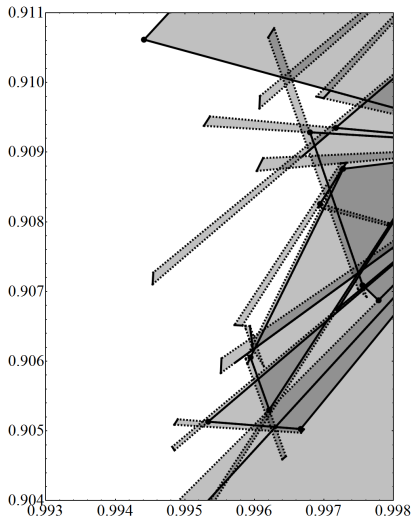
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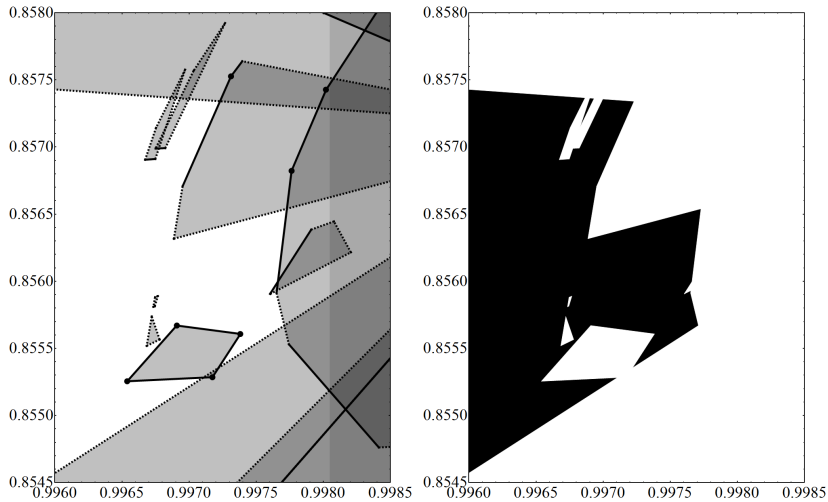
- $\mathcal{D}_2^{(0)}$  has at least 22 connected components
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Result achieved by a new algorithm which has been used to characterize  $\mathcal{D}_2^{(0)} \cap \{(x, y) \in \mathbb{R}^2 \mid x \leq L\}$  where  $L = \frac{511}{512}$ .

# Characterization of $\mathcal{D}_d^{(0)}$ – New results



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Basic concept:

Divide a given convex region inside the interior of  $\mathcal{D}_d$  into finitely many classes related to sets of witnesses.

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Handle classes in a sophisticated order to minimize computation time!

## Two new algorithms

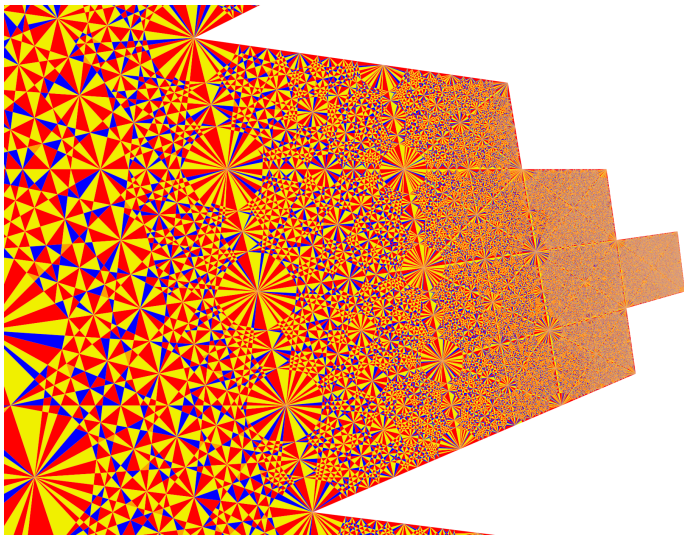


Figure: Hidden structure revealed by classes related to sets of witnesses



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- A “real” algorithm (terminates for all inputs)

Advantages of the second algorithm:

- Much faster than Brunotte's algorithm
- Very compact output (minimal list of cutout polyhedra)

Thank you for your attention!

# Motivation - Relation to Canonical Number Systems

Let

$$P(X) = X^d + p_{d-1}X^{d-1} + \dots + p_1X + p_0 \in \mathbb{Z}[X]$$

$$\mathcal{R} := \mathbb{Z}[X]/P(X)\mathbb{Z}[X]$$

$$\mathcal{N} := \{0, 1, \dots, |p_0| - 1\}$$

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$(P, \mathcal{N})$  is called a **CNS**,  $P$  a **CNS polynomial** and  $\mathcal{N}$  the **set of digits** if every non-zero element  $A(x) \in \mathcal{R}$  can be represented uniquely in the form

$$A(x) = a_mx^m + a_{m-1}x^{m-1} + \dots + a_1x + a_0$$

with  $m \in \mathbb{N}_0$ ,  $a_i \in \mathcal{N}$  and  $a_m \neq 0$ .

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Then  $P$  is a **CNS polynomial**  $\iff \left(\frac{1}{p_0}, \frac{p_{d-1}}{p_0}, \dots, \frac{p_2}{p_0}, \frac{p_1}{p_0}\right) \in \mathcal{D}_d^{(0)}$